Notification letter

Date of notification: Click or tap here to enter text.

Notification of intention to market units or shares of an AIF or AIFs of the same AIFM in a member state other than the home member state of the AIFM in accordance with article 32 of directive 2011/61/EU on alternative investment fund managers (the “AIFMD”), including european long term investment fund (“ELTIF”) in accordance with article 31 of regulation (EU) 2015/760 on ELTIFs (The “ELTIF regulation”).

in Click or tap here to enter text.

(the host Member State(s))

Are you notifying amendments to information already provided in an initial notification?

Yes [ ]  No [ ]

|  |
| --- |
| Information on the AIFM or self-managed AIF |
| Name of the AIFM/self-managed AIF: | Click or tap here to enter text. |
| AIFM/self-managed AIF LEI (if available): | Click or tap here to enter text. |
| National identification code of the AIFM/self-managed AIF (if available): | Click or tap here to enter text. |
| AIFM/self-managed AIF’s home Member State | Click or tap here to enter text. |
| Address and registered office/domicile if different from address:Click or tap here to enter text. |
| Details of AIFM/self-managed AIF’s website: | Click or tap here to enter text. |
|  |
| **Details of contact person at the AIFM/self-managed AIF:** |
| Name/Position: | Click or tap here to enter text. |
| Telephone number: | Click or tap here to enter text. |
| E-mail address: | Click or tap here to enter text. |
|  |
| **Details of the contact point (if a third party is appointed):** |
| Name | Click or tap here to enter text. |
| Legal form | Click or tap here to enter text. |
| Registered office | Click or tap here to enter text. |
| Name of the person to be contacted/position: | Click or tap here to enter text. |
| Address for correspondence (if different). | Click or tap here to enter text. |
| Telephone number | Click or tap here to enter text. |
| Email address | Click or tap here to enter text. |

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| Contact point for the invoicing or for the communication of any applicable regulatory fee or charges (if different) [[1]](#footnote-1): |
| Name/position: | Click or tap here to enter text. |
| Address for correspondence (if different) | Click or tap here to enter text. |
| Telephone number | Click or tap here to enter text. |
| Email Address | Click or tap here to enter text. |
|  |  |
| Duration of the AIFM/self-managed AIF, if applicable: | Click or tap here to enter text. |
|  |  |
| Scope of activities of the AIFM in the AIF host Member State:Click or tap here to enter text. |
|  |  |
| Additional information about the AIFM/self-managed AIF (if necessary): Click or tap here to enter text. |
|  |  |
|  |  |

**Facilities to retail investors (if relevant)**

Pursuant to Article 31(1) of Directive 2011/61/EU, EU AIFMs are authorised to market units or shares of EU AIFs they manage to professional investors in other Member States than their home Member State. However, Member States may allow AIFMs to market to retail investors in their territory units or shares of AIFs they manage.

The below information must be filled in case marketing of the AIFs identified in the “information on the AIF section” below targets retail investors.

In accordance with point (j) of Annex IV of Directive 2011/61/EU, please fill-in the following table to provide information on the facilities to perform the tasks referred to in Article 43a(1) of this Directive:

|  |  |  |
| --- | --- | --- |
| Task of facility | Information on the facilities performing the tasks | Name/legal form/registered office/ address, e-mail and telephone number for correspondence of the person responsible to provide the facilities |
| Process investor’s subscription, payment and redemption orders relating to the unites or shares of the AIF | Click or tap here to enter text. | Click or tap here to enter text. |
| Provide investors on how orders referred to above can be made and how repurchase and redemption proceeds are paid | Click or tap here to enter text. | Click or tap here to enter text. |
| Facilitate the handling of information relating to the exercise of investor’s rights arising from their investment in the AIF | Click or tap here to enter text. | Click or tap here to enter text. |
| Make the information and documents required pursuant to Articles 22 and 23 of Directive 2011/61/EU available to investors for the purposes of inspection and obtaining copies thereof | Click or tap here to enter text. | Click or tap here to enter text. |
| Provide investors with information relevant to the tasks that the facilities perform in a durable medium as defined in point (m) of Article 2(1) of Directive 2009/65/EC | Click or tap here to enter text. | Click or tap here to enter text. |

**Attachments**

1. The latest version of the AIF rules or instruments of incorporation.
2. The latest version of the offering document (e.g. prospectus);
3. The AIF’s latest annual report
4. Marketing documentation
5. Any additional information referred to in Article 23(1) of Directive 2011/61/EU for each AIF the AIFM intends to market (Paragraph (f) of Annex IV)
6. Other (please specify).

Click or tap here to enter text.

**Information on the AIF**

Name of the AIF: Click or tap here to enter text.

AIF home Member State: Click or tap here to enter text.

Legal fom of the AIF (please tick appropriate one box):

[ ]  common fund

[ ]  unit trust

[ ]  investment company

Does the AIF have compartments? Yes [ ]  No [ ]

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Name of the AIFand/or compartment(s)to be marketed in thehost Member State | LEI of the AIF or of the compartment (2) | Name of share class(es) to be marketed in the host Member State (1) | ISIN of the share class(es) to be marketed in the host Member State (1) | Name of the depositary of the AIF | Duration (2) | AIF national identification code | AIF’s investment strategy (please specify the predominant AIF and the breakdown by investment strategies as set out in the reporting template included in Regulation 231/2013) |
| Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. |
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(1) If only certain share classes are intended to be marketed, only those classes should be listed

(2) If applicable

Master-feeder structures (if applicable):

|  |  |  |  |
| --- | --- | --- | --- |
| Name of the master AIF or compartment(s) | AIFM of the master AIF/or compartment (if different) | Home Member State of the master AIF (if different) | Home Member State of the AIFM (if different) |
| Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. | Click or tap here to enter text. |
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Information on the arrangements established to prevent units or shares of the AIF from being marketed to retail investors, including in the case where the AIFM relies on activities of independent entities to provide investment services in respect of the AIF:

Click or tap here to enter text.

1. Please indicate a single contact point for the transmission of the invoice or for the communication of any applicable regulatory fee or charge referred to in Article 9 of Regulation (EU) 2019/1156 and in Article 2 of the Commission Implementing Regulation (EU) 2021/955 [↑](#footnote-ref-1)